

**General information about company**

Scrip code	509597
NSE Symbol	
MSEI Symbol	
ISIN	INE722D01015
Name of the company	Hardcastle and Waud Manufacturing Company Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

<b>Declaration</b>					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	14	499183			499183	73.47	499183		499183	73.47
(B)	Public	1216	180291			180291	26.53	180291		180291	26.53
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	1230	679474			679474	100	679474		679474	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				73.47					499183
(B)	Public				26.53					161808
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					660991

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	4	181569			181569	26.72	181569		181569	26.72
(d)	Any Other (specify)	10	317614			317614	46.74	317614		317614	46.74
Sub-Total (A) (1)		14	499183			499183	73.47	499183		499183	73.47
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		14	499183			499183	73.47	499183		499183	73.47
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	2	560			560	0.08	560		560	0.08
Sub-Total (B) (1)		2	560			560	0.08	560		560	0.08
(3)	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal	1133	93358			93358	13.74	93358		93358	13.74

	share capital up to Rs. 2 lakhs.									
(e)	Any Other (specify)	81	86373			86373	12.71	86373		86373 12.71
Sub-Total (B) (3)		1214	179731			179731	26.45	179731		179731 26.45
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)		1216	180291			180291	26.53	180291		180291 26.53
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder									
Total (A+B+C2)		1230	679474			679474	100	679474		679474 100
Total (A+B+C)		1230	679474			679474	100	679474		679474 100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				26.72					181569
(d)				46.74					317614
Sub-Total (A) (1)				73.47					499183
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				73.47					499183
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0.08					0
Sub-Total (B) (1)				0.08					0
( 3 )	Non-institutions								
(a(i))				13.74					78001

(e)				12.71					83807
Sub-Total (B) (3)				26.45					161808
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)				26.53					161808
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					660991
Total (A+B+C )				100					660991



<b>Individuals/Hindu undivided Family</b>					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	Shri Banwari Lal Jatia	Smt Lalita Devi Jatia	Smt Usha Devi Jatia	Shri Achal Jatia	<a href="#">Click here to go back</a>
PAN (II)	AAFPJ0367A	AAJJP6660J	ACIPJ6863G	AABPJ7516K	Total
No. of fully paid up equity shares held (IV)	1	1	1	181566	181569
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1	1	1	181566	181569
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0	0	26.72	26.72
<b>Number of Voting Rights held in each class of securities (IX)</b>					
Class eg:X	1	1	1	181566	181569
Class eg:y					
Total	1	1	1	181566	181569
Total as a % of Total Voting rights	0	0	0	26.72	26.72
No. Of Shares Underlying Outstanding					

convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0	0	0	26.72	26.72
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	1	1	1	181566	181569
Reason for not providing PAN					
Reason for not providing PAN					
Shareholder type	Promoter Group	Promoter Group	Promoter Group	Promoter	

<b>Any Other (specify)</b>							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	Shri Ambika Trading Company Private Limited	Saubhagya Impex Private Limited	Acacia Impex Private Limited	Achal Exim Private Limited	Akshay Ayush Impex Private Limited	Concept Highland Business Private Limited	Hardcastle Petrofer Private Limited
PAN (II)	AAACS5970Q	AAACS6984N	AAACA4838K	AAACA4837G	AAACA4885E	AAACC2121K	AABCH5032R
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	91555	103051	1	1	1	1	1
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	91555	103051	1	1	1	1	1
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	13.47	15.17	0	0	0	0	0
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	91555	103051	1	1	1	1	1
Class eg:y							

Total	91555	103051	1	1	1	1	1
Total as a % of Total Voting rights	13.47	15.17	0	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	13.47	15.17	0	0	0	0	0
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in	91555	103051	1	1	1	1	1



<b>Any Other (specify)</b>				
Serial No.	8	9	10	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	Hawcoplast Investments & Trading Limited	Vandeep Trade Links Private Limited	Hawco Petrofer LLP	
PAN (II)	AAACH7035H	AAACV1858Q	AAGFH9957D	Total
No. of the Shareholders (I)	1	1	1	10
No. of fully paid up equity shares held (IV)	123001	1	1	317614
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	123001	1	1	317614
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	18.1	0	0	46.74
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg: X	123001	1	1	317614
Class eg:y				
Total	123001	1	1	317614

Total as a % of Total Voting rights	18.1	0	0	46.74
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	18.1	0	0	46.74
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	123001	1	1	317614

Reason for not providing PAN				
Reason for not providing PAN				
Shareholder type	Promoter Group	Promoter Group	Promoter Group	



<b>Any Other (specify)</b>							
Serial No.	1	2	3	4	5	6	7
Category	Clearing Members	Bodies Corporate	Bodies Corporate	Bodies Corporate	HUF	Non-Resident Indian (NRI)	Unclaimed or Suspense or Escrow Account
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category	Category	More than 1 percentage of shareholding
Name of the Shareholders (I)		Bay Capital Advisors Private Limited	Discovery Financial Services Private Limited				Hardcastle And Waud Mfg. Co. Ltd. Unclaimed Shares Suspense Account
PAN (II)		AABCB6529P	AAACP5816C				AAACH0398F
No. of the Shareholders (I)	2	1	1	22	49	8	1
No. of fully paid up equity shares held (IV)	20	48975	7000	77854	7208	1291	10104
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	20	48975	7000	77854	7208	1291	10104
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	7.21	1.03	11.46	1.06	0.19	1.49

Number of Voting Rights held in each class of securities (IX)							
Class eg: X	20	48975	7000	77854	7208	1291	10104
Class eg:y							
Total	20	48975	7000	77854	7208	1291	10104
Total as a % of Total Voting rights	0	7.21	1.03	11.46	1.06	0.19	1.49
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	7.21	1.03	11.46	1.06	0.19	1.49
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in	20	48975	7000	75314	7208	1265	10104

dematerialized form (XIV)							
Reason for not providing PAN							
Reason for not providing PAN							

**Any Other (specify)**

Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of the Shareholders (I)	81
No. of fully paid up equity shares held (IV)	86373
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	86373
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.71
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	86373
Class eg:y	
Total	86373

Total as a % of Total Voting rights	12.71
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	12.71
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	83807
Reason for not providing PAN	
Reason for not providing PAN	

**Details of Shares which remain unclaimed for Public**

Serial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
1	79	10104	10104	

**significant beneficial owners**

Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Shri Achal Jatia	AABPJ7516K		India		Shri Achal Jatia	AABPJ7516K		India		26.7216	26.7216	26.7216	No	No	26-03-2021
2	Shri Achal Jatia	AABPJ7516K		India		Shri Ambika Trading Company Private Limited	AAACS5970Q		India		13.47407			No	No	26-03-2021
3	Shri Achal Jatia	AABPJ7516K		India		Saubhagya Impex Private Limited	AAACS6984N		India		15.16618			No	No	26-03-2021
4	Shri Achal Jatia	AABPJ7516K		India		Concept Highland Business Private Limited	AAACC2121K		India		0.00015			No	No	26-03-2021
5	Shri Achal Jatia	AABPJ7516K		India		Hardcastle Petrofer Private Limited	AABCH5032R		India		0.0001			No	No	26-03-2021
6	Shri Achal Jatia	AABPJ7516K		India		Hawco Petrofer LLP	AAGFH9957D		India		0.00006			No	No	26-03-2021
<b>Total:</b>											<b>55.36216</b>	<b>26.7216</b>	<b>26.7216</b>			